

## DECLARATION FOR PATENT APPLICATION AND APPOINTMENT OF ATTORNEY

As a below-named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention (Design, if applicable) entitled: **SYSTEM FOR PROVIDING INFORMATION TO INTENDING CONSUMERS**

the specification of which (check one)

- ☒ is attached hereto.  
☐ was filed on \_\_\_\_\_ as Application Serial No \_\_\_\_\_, and was amended on \_\_\_\_\_ (if applicable).  
☐ was filed on \_\_\_\_\_ as International Application (PCT) No \_\_\_\_\_, and was amended on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with *Title 37, Code of Federal Regulations, § 1.56(a)*. I hereby claim foreign priority benefits under *Title 35, United States Code § 119* of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which the priority is claimed.

## PRIOR FOREIGN APPLICATION(S)

NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	PRIORITY CLAIMED
PQ1198	Australia	25/06/99	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
PQ4356	Australia	30/11/99	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
50132/01	Australia	05/06/01	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under *Title 35, United States Code, § 120* of any United States application(s) or PCT international application(s) designating The United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of *Title 35, United States Code, § 112*, I acknowledge the duty to disclose material information as defined in *Title 37 Code of Federal Regulations, § 1.56(a)* which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application.

APPLICATION NUMBER	FILING DATE	STATUS (Patented, Pending or Abandoned)
PCT/AU00/00721	23/06/00	Pending

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, or imprisonment, or both, under *Section 1001 of Title 18 of the United States Code*, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I (We) hereby appoint as my (our) attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Ajay A. Jagtiani, Registration Number 35,205 and Mark J. Gutttag, Registration Number 33,057

Send correspondence to

JAGTIANI + GUTTAG  
 10379-B Democracy Lane  
 Fairfax, Virginia 22030

Telephone calls to

Ajay A. Jagtiani  
 (703) 591-2664

Full Name of First or Sole Inventor BURNETT, Jonathan Robert		Citizenship Australian	
Residence Address - Street 18 Graham Avenue		Post Office Address Street 18 Graham Avenue	
City Eastwood		City Eastwood	
State or Country New South Wales	Zip 2122	State or Country New South Wales	Zip 2122
DATE 17 December 2001		SIGNATURE JBurnett	

Attorney's Docket No.

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☐ In re application of:

Serial No.: 00 /

Group No.

Filed:

Examiner:

For: \*

☐ Patent No.: \_\_\_\_\_

Issued: \_\_\_\_\_

\* NOTE: Insert name(s) of inventor(s) and title also for patent. Where statement is with respect to a maintenance fee payment also insert application serial number and filing date and add Box M. Fee to address.

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(c-f) and 1.27(b-d))**

With respect to the invention described in

- ☒ the specification filed herewith  
☐ application serial no. 00 / , filed \_\_\_\_\_  
☐ PCT International Application No. \_\_\_\_\_, filed \_\_\_\_\_  
☐ Patent no. \_\_\_\_\_, issued \_\_\_\_\_

**I. IDENTIFICATION OF DECLARANT AND RIGHTS AS A SMALL ENTITY**

I hereby declare that I am

(complete either (a), (b), (c) or (d) below):

## (a) Independent Inventor

☒ a belownamed independent inventor and that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees under Section 41 (a) and (b) of Title 35, United States to the Patent and Trademark Office

## (b) Non-inventor Supporting a Claim By Another

☐ making this verified statement to support a claim by \_\_\_\_\_

\_\_\_\_\_ for a small entity status for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code and I hereby declare that I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under 41(a) and (b) of Title 35, United States Code, if I had made the above identified invention.

## (c) Small Business Concern

- ☐ the owner of the small business concern identified below:  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN \_\_\_\_\_

ADDRESS OF CONCERN \_\_\_\_\_

and

(Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (c-f) and 1.27(b-d)) [7-10] - page 1 of 4)

that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of the Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

(d) Non-Profit Organization

- ☐ an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION \_\_\_\_\_

ADDRESS OF ORGANIZATION \_\_\_\_\_

TYPE OF ORGANIZATION

- ☐ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

- ☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c) (3))

- ☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA

(NAME OF STATE \_\_\_\_\_)

(CITATION OF STATUTE \_\_\_\_\_)

- ☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501(a) AND 501(c) (3)) IF LOCATED IN THE UNITED STATES OF AMERICA

- ☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA

(NAME OF STATE \_\_\_\_\_)

(CITATION OF STATUTE \_\_\_\_\_)

and that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code.

**II. OWNERSHIP OF INVENTION BY DECLARANT**

I hereby declare that rights under contract or law remain with and/or have been conveyed to the above identified

- ☒ person ☐ concern ☐ organization  
(item (a) or (b) above) (item (c) above) (item (d) above)

(Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (c-f) and 1.27(b-d)) [7-10] - page 2 of 4)

EXCEPT, that if rights held are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held (1) by any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, (2) any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or (3) a non-profit organization under 37 CFR 1.9(e)

- ☒ no such person, concern or organization
- ☐ person, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

### III. ACKNOWLEDGEMENT OF DUTY TO NOTIFY PTO OF STATUS CHANGE

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

### IV. DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

### V. SIGNATURES

(complete only (e) or (f) below)

(e)

NOTE: All inventors must sign the verified statement.

Jonathan Robert Burnett  
Name of Inventor

Date: 17 December 2001

JBurnett  
Signature of Inventor

(Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (c-f) and 1.27(b-d)) [7-10] -3 of 4)

10023886 122191

\_\_\_\_\_  
Name of Inventor

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Inventor

\_\_\_\_\_  
Name of Inventor

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Inventor

*(add lines for any additional inventors who must sign)*

or

(f)

NOTE: The title of the person signing on behalf of a concern or non-profit organization should be specified.

NAME OF PERSON SIGNING \_\_\_\_\_

TITLE OF PERSON \_\_\_\_\_  
(if signing on behalf of a concern or non-profit organization)

ADDRESS OF PERSON SIGNING \_\_\_\_\_

\_\_\_\_\_  
SIGNATURE \_\_\_\_\_

DATE \_\_\_\_\_

(Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9 (c-f) and  
1.27(b-d)) [7-10] -4 of 4)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Patent Application of:**

**BURNETT, Jonathan Robert**

**Serial No.: To be assigned**

**Filed: Concurrently herewith**

**For: SYSTEM FOR PROVIDING INFORMATION  
TO INTENDING CONSUMERS**

**Examiner: To Be Assigned**

**Art Unit: To Be Assigned**

**Docket No.: SPFE-0001-1**

**Director of U.S. Patent and Trademark Office  
Washington, D.C. 20231**

**ASSOCIATE POWER OF ATTORNEY**

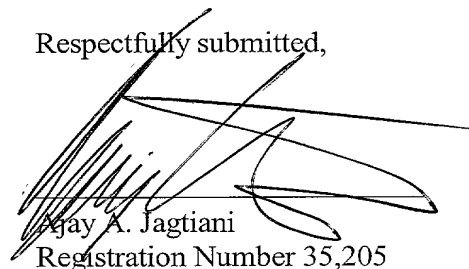
Sir:

I hereby appoint Steven J. Prewitt, Registration Number 45,023, as my associate attorney in the above-captioned application, with full power of substitution and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent Office connected therewith.

However, please continue to address all future communications to the undersigned attorney at the following:

Ajay A. Jagtiani  
**JAGTIANI + GUTTAG**  
10379-B Democracy Lane  
Fairfax, Virginia 22030

Respectfully submitted,

  
Ajay A. Jagtiani  
Registration Number 35,205

December 21, 2001

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